

Applicant(s): McCormick et al.

Serial No.: 09/522,900

Filed: 03/10/2000

Title: Self Antigen Vaccines for Treating B-

Cell Lymphomas and Other Cancers

Attorney Docket No.: 42200

Examiner: BLANCHARD, David J.

Group Art Unit: 1642

MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

## Dear Sir:

This Information Disclosure Statement is being submitted under 37 CFR 1.97(b) along with a Request for Continued Examination (RCE) under 37 CFR 1.114.

Applicant submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The references cited listed on Form PTO 1449 were recently cited in a Search Report in a related PCT application. A copy of the Search Report also accompanies this statement.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither

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anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Date: 8 M ml 2008

Respectfully submitted,

Thomas Gallegos, Reg. No. 32,692

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|---|-----------------------------|--------|---|------------------------|--------------------|--|
|   |                             |        |   | Application Number     | 09/522,900         |  |
|   |                             |        | _ | Filing Date            | 03/10/2000         |  |
| 3   | STATEMENT BY APPLICANT      |        |   | First Named Inventor   | McCormick et al.   |  |
|   | (Use as many sheets as nece | ssary) |   | Art Unit               | 1642               |  |
|   |                             |        |   | Examiner Name          | David J. BLANCHARD |  |
| Sheet   | 1                           | of     | 1 | Attorney Docket Number | 42200              |  |

| U.S. PATENT DOCUMENTS |              |  |                                |  |   |  |
|-----------------------|--------------|--|--------------------------------|--|---|--|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number - Kind Code <sup>2 (# known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                       |              | US-6,403,371   | 06/11/2002                     | CONRAD et al.                                      |   |  |
|                       |              |  |                                |  |   |  |
|                       |              |  |                                |  |   |  |

| FOREIGN PATENT DOCUMENTS |      |  |                  |  |   |              |
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| Examiner                 | Cite | Foreign Patent Document  | Publication Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |              |
|                          |      | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> ) | MM-DD-YYYY       | Applicant of Cited Document                        | or Relevant Figures Appear                        | Ľ.           |
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| NON PATENT LITERATURE DOCUMENTS |             |   |                |  |
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| Examiner Initials*              | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |
|                                 |             | SHU, et al., "Secretion of a single-gene-encoded immunoglobulin from myeloma cells",<br>Proc. Natl. Acad. Sci. USA (1993) 90:7995-7999  |                |  |
|                                 |             |   |                |  |
|                                 |             |   |                |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.